DEW PATENT

P.C. 0015.02

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

RONALD D. HATFIELD ET AL.

A Process for Inhibition of
Proteolytic Activity During
the Ensiling of Forages

Serial No. 10/736,155

Filed December 15, 2003

The Honorable

The Honorable
The Commissioner for Patents
Sir:

Information Disclosure Statement

Pursuant to 37 C.F.R. 1.97 and 1.98, Applicants hereby submit an Information Disclosure Statement for consideration by the Examiner.

- I. <u>LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION</u>
 The patents, publications or other information submitted for consideration by the Office (except U.S. patent applications) are listed on PTO/SB/08a/b attached hereto.
- II. COPIES (check at least one item)
 - a. X Submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all

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b	Any patents, publications or other information are listed on PTO/SB/08a/b or on the copies 892 but which are not enclosed herewith were previously cited by or submitted to the PTO the following applications which has been refor an earlier filing date under 35 U.S.C. 1	of PTO- e in one o elied upo	of
U.S.	Serial Number U.S. Fil	ling Date	<u>e</u>
CONCISE item)	SE EXPLANATION OF THE RELEVANCE (check at leas	st one	
a. <u>X</u>	Except as may be indicated below in (b), all patents, publications or other information a English language (concise explanation not re	are in th	
b	A concise explanation of the relevance of all patents, publications or other information I that is not in the English language is as for	listed	
c	The following additional information is provide Examiner's consideration:	vided for	r
The Examplication the	REFERENCE TO RELATED APPLICATIONS caminer is advised that the following co-pendication(s) contain(s) subject matter that may be present application. By bringing this (the cation(s) to the Examiner's attention, application	pe relate se)	ed

FEES.

IV.

III.

- V. THIS IDS IS BEING FILED UNDER 37 C.F.R. 1.97(b): (check one item)
 - a.___ Within three months of the filing date of a national application [37 C.F.R. 1.97(b)(1)]. No fee or certification is required.

not waive the confidentiality provisions of 35 U.S.C. 122:

b.___ Within three months of the date of entry of the national stage as set forth in 1.491 in an

international application [37 C.F.R. 1.97(b)(2)]. No fee or certification is required.

- c. X Before the mailing date of a first Action on the merits [37 C.F.R 1.97(b)(3)]. No fee or certification is required.
- VI. THIS IDS IS BEING FILED UNDER 37 C.F.R. 1.97(c): before the mailing date of a Final Office Action under 37 C.F.R. 1.113 [See 37 C.F.R. 1.97(c)(1)] or before the mailing date of a Notice of Allowance under 37 C.F.R. 1.311 [See 37 C.F.R. 1.97(c)(2)]. (check one item)
 - a.___ No certification; therefore, a fee in the amount of \$___ is required by 37 C.F.R. 1.17(p); or
 - b.___ See the certification below. No fee is required.
- VII. <u>Certification Under 37 C.F.R. 1.97(e)</u>
 The undersigned hereby certifies that: (check <u>only</u> one item)
 - a.___ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
 - b.___ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(C) more than three months prior to the filing of this statement.
 - Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any

individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

VIII.	Payment	of	Fees	(check	one	item)

- a. X NO FEE IS REQUIRED.
- b. ___ Please charge Deposit Account No. 50-2132 in the amount of \$____ for the above-indicated fee.

This Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; or (iii) the cited information constitutes prior art in the subject application.

If this IDS is determined to not fully comply with the rules, the Examiner is urged to contact the undersigned.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. 1.16 or 1.17 to Deposit Account 50-2132.

Respectfully submitted,

Peoria, IL

Randall E. Deck, Agent of Record Registration No. 34,078

(309) 681-6515

FAX: (309) 681-6688

Enclosures:

X_ PTO/SB/08a/b

____ PTO-892

X References (1)

____ Foreign Search Report

Fee

Other:

PTO/SB/08b (08-03) Approved for use through 06/30/2003. OMB 0651-0031

MAY 0 8 2007 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 14498/PTO Complete if Known 4 THADEN Application Number 10/736,155 INFORMATION DISCLOSURE Filing Date December 15, 2003 STATEMENT BY APPLICANT First Named Inventor Ronald D. Hatfield Art Unit 1616 (use as many sheets as necessary) **Examiner Name** Alton N. Pryor Attorney Docket Number Sheet of 1 0015.02 1

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	AR1	HATFIELD, Ronald D., "Characterization of Red Clover Polyphenol Oxidase", Plant Biology 2002 Program, Heightened Frontiers in Plant Biology, Final Program, Plant Biology 2002, August 3-7 2002, Adams Mark Hotel, Denver, CO			
	AS1				
	AT1				
	AU1				
	AV1				
	AW1				

Examiner		Date	
Signature		Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.